SEACEN-BOJ Online Course on Off-site Monitoring, including Supervisory Technology

Date: 21 - 24 September 2020
Time (Japan): 9 AM to 3:45 PM
Time (KL): 10 AM to 4:45 PM
Mode: Online
INTRODUCTION

The Great Financial Crisis, over a decade ago, herald far-ranging overhaul of the international architecture for financial regulation, coupled with a deep reflection around the fitness-for-purpose and effectiveness of supervisory efforts. Since the crisis, a raft of new rules has been written and new reporting regimes are still coming down the pipeline in 2020 and beyond. In this regard, financial institutions and SEACEN Member Central Banks and Monetary authorities continue to commit significant resources to implement the ongoing change required on top of managing existing supervisory and regulatory obligations.

Over the last 10 years or so, regulators including SEACEN Members have demanded more information and tougher compliance requirements from financial institutions they oversee. Whether the financial crisis, AML lapses, corporate governance failures, behavioural and market conduct issues, there is a deep imperative for SEACEN Members to ensure that obliged entities are meeting the standards enshrined in laws and regulations.

Along with more regulation and more reporting comes the need for more transparent, tech, and data-driven approaches to report and monitor activities with reduced risk and errors. With new technologies to solve regulatory and compliance requirements more effectively and efficiently, RegTech has been one of the hottest investment and entrepreneurship areas, but it also has been a starting point for another area of development – supervisory technology, or SupTech – which offers an opportunity to supervisory agencies much like RegTech brings efficiency to industry regulators and reporting institutions. Supervisory agencies are increasingly using technology to digitize data, streamline their operational procedures, and automate the regulatory reporting and collection process, while also driving broad-based innovation in policy making and regulatory strategy. In addition to operational efficiency enablement, SupTech is also driving broad-based innovation in policymaking and regulatory strategy.

The course will focus on the knowledge and skills required for supervisors and regulators in SEACEN Member Central Banks and Monetary Authorities to understand the process for developing and implementing supervisory technology (SupTech) to enhance the effectiveness of offsite monitoring.
COURSE OBJECTIVES

By the end of the course, the participants will be able to:

- Discuss the key areas in offsite monitoring and the use of SupTech;
- Discuss the Impact of COVID19 on Banking Risks including corporate governance and asset quality;
- Distinguish between different use cases amongst SEACEN Members and regulatory agencies; and
- Identify the developmental and implementation challenges relating to SupTech.

RESOURCE PERSONS

The resource persons for the course are experts from Financial Stability Institute (FSI), Bank for International Settlements (BIS), SEACEN member central banks and monetary authorities, SEACEN’s faculty staff, and outside experts.

TARGET PARTICIPANTS

The course is designed for:

- Policymakers, central bankers and regulators in SEACEN Member Central Banks and Monetary Authorities, AND
- Officers who are directly involved in offsite monitoring and the development and implementation of SupTech.

NOTE: Only participants who complete 80% of the sessions will receive a certificate of completion.
TECHNICAL REQUIREMENTS

This course will be delivered via Gototraining platform. There are a few technical requirements that you have to adhere to:

- Laptop/desktop or any portable device with good internet connection
- Laptop/desktop should have a microphone/webcam to be able to participate in the sessions
- Google Chrome browser is installed before the start of the course
- Check with your IT team to enable the access to Gototraining, Cisco WBEX and OpenLearning LMS
- Check with your IT team to enable the receiving of email from The SEACEN Centre, Gototraining and SurveyMonkey, eg: conference@seacen.org and customercare@gototraining.com

You will be given a detailed guide on how to use Gototraining once you register for the course.
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<th>Time</th>
<th>Session</th>
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<tr>
<td>9:00am – 9:45am (KL)</td>
<td>OFFICIAL WELCOME REMARKS AND INTRODUCTIONS</td>
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<tr>
<td>10:00am – 10:45am (JP)</td>
<td>Guest of Honour, BOJ</td>
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<td>Guest of Honour, AMBD</td>
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<td>Dr. Mangal Goswami, Executive Director, The SEACEN Centre</td>
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<td>Mr. Glenn Tasky, FSS, The SEACEN Centre</td>
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<td>9:45am – 10:00am (KL)</td>
<td>BREAK</td>
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<td>10:45am – 11:00am (JP)</td>
<td>BREAK</td>
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<td>10:00am – 11:30am (KL)</td>
<td>SESSION 1: RBS: OFFSITE MONITORING IN THE FACE OF COVID-19 2, INCLUDING ASSET QUALITY REVIEW</td>
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<tr>
<td>11:00am – 12:30pm (JP)</td>
<td>Ms. Intan Zainura, Bank Negara Malaysia</td>
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<td>11:30am – 1:00pm (KL)</td>
<td>BREAK</td>
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<td>12:30pm – 2:00pm (JP)</td>
<td>BREAK</td>
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<td>1:00pm – 2:30pm (KL)</td>
<td>SESSION 2: THE IMPACT OF COVID-19 AND BANKING RISKS IN ASIA</td>
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<td>2:00pm – 3:30pm (JP)</td>
<td>1. Ms. Rebaca Tan, Moody’s Investor Services</td>
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<td>2. Mr. Eugene Tarzimanov, Moody’s Investor Services</td>
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<td>2:30pm – 3:30pm (KL)</td>
<td>BREAK</td>
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<tr>
<td>3:30pm – 4:30pm (JP)</td>
<td>SESSION 3: THE OF IMPACT OF COVID-19 ON BANKS’ BUSINESS MODELS AND IMPLICATIONS FOR BANKING SUPERVISION</td>
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<td>3:30pm – 5:00pm (KL)</td>
<td>1. Mr. Graeme Knowd, Managing Director, Financial Institutions Group, Moody’s Investor Services</td>
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<td>4:30pm – 6:00pm (JP)</td>
<td>2. Ms. Rebaca Tan, Moody’s Investor Services</td>
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PROGRAMME AGENDA

DAY 2

Tuesday, September 22

**SESSION 4: CORPORATE GOVERNANCE AND CULTURE IN THE AGE OF COVID-19**

Chaired by: Mr. Glenn Tasky, The SEACEN Centre

Speakers:
1. Mr. Keith Pogson, EY Global Assurance Leader, Banking & Capital Markets and EY Asia-Pacific Financial Services, Senior Partner
2. Mr. Alexander Berg, Senior Financial Sector Specialist, World Bank

**LONG BREAK**

**SESSION 5: STATE OF ASSET QUALITY IN SOUTH EAST ASIA AND STRATEGIES, INCLUDING ASSET MANAGEMENT COMPANY (AMC)**

Ms. Alka Anbarasu, Moody’s Investor Services

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<td>4:30pm - 6:00pm</td>
<td>9:30am - 11:00am</td>
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DAY 3

Wednesday, September 23

SESSION 6: OFF-SITE MONITORING, INCLUDING SUPERVISORY TECHNOLOGY FDIC’S PERSPECTIVE
Mr. Colby Thomas, Examination Specialist, Risk Management Supervision, US FDIC

9:00am – 10:30am (KL)
10:00am - 11:30am (JP)
9:00pm - 10:30pm (US)

10:30am – 11:00am (KL)
11:30am – 12:00noon (JP)

SESSION 7: BANK OF JAPAN’S OFFSITE MONITORING METHODS AND EXPERIENCE
Mr. Tatsufumi UEDA, Deputy Director, Financial System and Bank Examination Department, Bank of Japan

11:00am – 12:10pm (KL)
12:00am – 1:10pm (JP)

12:10pm – 2:30pm (KL)
1:10pm – 3:30pm (JP)

SESSION 8: BANKING SUPERVISION IN THE ERA OF DIGITIZATION
Dr. Cheng-Yun Tsang, Assistant Professor at College of Law, National Chengchi University

2:30pm – 3:30pm (KL)
3:30pm - 4:30pm (JP)

3:30pm – 3:45pm (KL)
4:30pm - 4:45pm (JP)

SESSION 9: SUPTECH: BNM’S PERSPECTIVE
Ms. Intan Zainura Ahmad, BNM

3:45pm – 4:30pm (KL)
4:45pm - 5:30pm (JP)
DAY 4
Thursday, September 24

SESSION 10: SUPTECH IN PRACTICE: THE EMERGING PARADIGM SHIFT IN FINANCIAL SUPERVISION

1. Mr. Mark Anthony B. Perez, Director, Department of Supervisory Analytics, BSP
2. Mr. Howard Wang, Vice President, Equity Services Department, Taiwan Depository & Clearing Corporation

11:00am – 3:30pm (KL)
12:00 noon - 4:30pm (JP)

LONG BREAK

SESSION 11: SUPTECH IN PRACTICE: THE EMERGING PARADIGM SHIFT IN FINANCIAL SUPERVISION

Mr. Jermy Prenio, Senior Advisor, Financial Stability Institute

9:30am - 11:00am (BASEL)

5:00pm – 5:15pm (KL)
6:00pm - 6:15pm (JP)

BREAK

5:15pm - 6:00pm (KL)
6:15pm - 7:00pm (JP)

WRAP-UP SESSION
All Available Speakers

END OF PROGRAMME